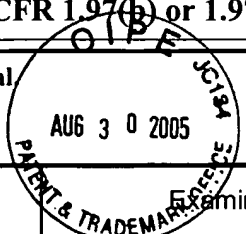


IFW

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. <b>04-002</b>	
In Re Application Of: <b>Doughty et al.</b>					
					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/807,375	March 23, 2004	Robert H. Spitzer	30058	1724	N/A
Title: <b>SPIRAL COMPOSITE ADSORBENT MATERIAL</b>					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
<b>37 CFR 1.97(b)</b>					
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
<b>37 CFR 1.97(c)</b>					
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);					
<b>OR</b>					
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).					

**Docket No.**  
**04-002**

01PE JC184  
AUG 30 2005  
PATENT & TRADEMARK OFFICE  
004 Robert I

Title: SPIRAL COMPOSITE ADSORBENT MATERIAL

**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. 03-2026
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**August 25, 2005**

(Date)

*Signature of Person Mailing Correspondence*

**Jennifer L. Martinez**

***Typed or Printed Name of Person Mailing Certificate***

**\*This certificate may only be used if paying by deposit account.**

posit account.

**Signature**

**Christine W. Trebilcock, Esq.**  
**USPTO Reg. No. 41,373**  
**Cohen & Grigsby, P.C.**  
**11 Stanwix Street, 15th Floor**  
**Pittsburgh, PA 15222-1319**  
**412-297-4900**

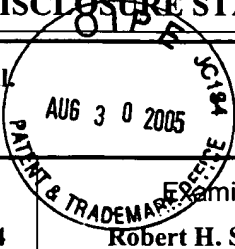
**Dated: August 25, 2005**

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**04-002**

In Re Application Of: **Doughty et al.**



Application No. <b>10/807,375</b>	Filing Date <b>March 23, 2004</b>	Examiner <b>Robert H. Spitzer</b>	Customer No. <b>30058</b>	Group Art Unit <b>1724</b>	Confirmation No. <b>N/A</b>
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Invention: **SPIRAL COMPOSITE ADSORBENT MATERIAL**

**COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*Signature*

Dated: **August 25, 2005**

**Certificate of Mailing by First Class Mail**

**Christine W. Trebilcock, Esq.**  
**USPTO Reg. No. 41,373**  
**Cohen & Grigsby, P.C.**  
**11 Stanwix Street, 15th Floor**  
**Pittsburgh, PA 15222-1319**  
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**August 25, 2005**

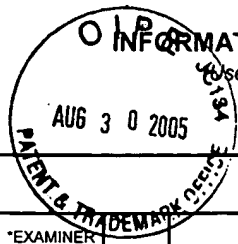
(Date)

*Signature of Person Mailing Correspondence*


**Jennifer L. Martinez**

*Typed or Printed Name of Person Mailing Correspondence*

cc:

 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>				ATTY DOCKET NO. <b>04-002</b>		APPLICATION NO. <b>10/807,375</b>	
				APPLICANT(S) <b>Doughty</b>			
				FILING DATE <b>March 23, 2004</b>		GROUP ART UNIT <b>1724</b>	
				<b>U.S. PATENT DOCUMENTS</b>			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AA	5,124,177	06-23-1992	Kasmark, Jr., et al.				
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AB	2002115969	08-22-2002	Maeda Satoshi et al.				
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
BA	1279435	01-29-2003	Europe				
BB	03/092877	11-13-2003	WIPO				✓
BC	10209364	09-18-2003	Germany				✓
BD	1374968	01-02-2004	Europe				
BE	3717209	12-01-1988	Germany				✓
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. <b>04-002</b>	APPLICATION NO. <b>10/807,375</b>
	<b>Doughty</b>	
	FILING <b>March 23, 2004</b>	GROUP ART <b>1724</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BF	2804285	08-03-2001	France				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.